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*Admitted only in Maryland

*Admitted only in Virginia

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*Practice Limited to

Federal Agencies

June 20, 2002

WRITER'S DIRECT NUMBER: (202) 371-2625 INTERNET ADDRESS: ESTEFFE@SKGF.COM

Commissioner for Patents Washington, D.C. 20231

Box Missing Parts

Re:

U.S. Utility Patent Application

Appl. No. 10/051,311; Filed: January 22, 2002

For: Method For Identifying Compounds Modulating Sister

Chromatid Separation

Inventor(s): PETERS et al.
Our Ref: 0652.2290001

Sir:

In reply to the Notice to File Missing Parts of Nonprovisional Application dated **February 20, 2002**, Applicant submits the following documents for appropriate action by the U.S. Patent and Trademark Office:

- 1. Petition for Extension of Time under 37 C.F.R. § 1.136;
- 2. PTO Fee Transmittal Form PTO/SB/17;
- 3. A copy of the Notice to File Missing Parts of Nonprovisional Application;
- 4. Letter to PTO Draftsman: Submission of Formal Drawings;
- 5. <u>17</u> sheets of formal drawings: (Figures 1A, 1B, 2A, 2B, 2C, 3A, 3B, 4A, 4B, 4C, 4D, 5A, 5B, 6A, 6B, 7-8, 9A, 9B, 10-11);
- 6. Preliminary Amendment and Submission of Substitute Sequence Listing Under 37 C.F.R. 1.825(a);
- 7. Substitute Paper and computer readable copies of Sequence Listing;
- 8. Original Declaration executed by the inventors;
- 9. An original executed Power of Attorney from Assignee;

Sterne, Kessler, Goldstein & Fox PLLC. : 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540: www.skqf.com

Commissioner for Patents June 20, 2002 Page 2

- 10. Assignee 37 C.F.R. § 3.73(b) Statement with a copy of Assignment attached;
- 11. Submission of Certified Copy of 35 U.S.C. §119(a)-(d) Priority Document In Utility Application;
- 12. A certified copy of <u>European</u> Patent Application No. <u>01 101 252.3</u>, filed <u>January 19, 2001</u>;
- 13. One (1) Return postcard; and
- 14. Our Check No. 35579 for \$ 1270.00 to cover: \$ 740.00 Filing Fee for Patent Application (37 C.F.R. § 1.16) \$ 130.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16) \$ 400.00 For extension of time fees under 37 C.F.R. § 1.136.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

In accordance with 37 C.F.R. § 1.821(f), the paper copy and the computer readable copy of the Sequence Listing submitted herewith are the same. In accordance with 37 C.F.R. § 1.821(g), the submission of this sequence listing includes no new matter.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Eric K. Steffe

Attorney for Applicant Registration No. 36,688

EKS/Y-W/mjg Enclosures ::ODMA\MHODMA\SKGF_DC1;25973;2

Sterne, Kessler, Goldstein & Fox P.L.C. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : www.skgf.com

PE FEE TRANSMITTAL		Complete if Known			
		Application Number	10/051,311		
(O' 'O' for EV	/ 2002	Filing Date	January 22, 2002		
for FY 2002		First Named Inventor	PETERS et al.		
JUN 2		Examiner Name	To Be Assigned		
Patent fees are subj	ect to annual revision.	Group Art Unit	1651		
TO TAMOUNT OF PAYMENT	\$1270.00	Attorney Docket No.	0652.2290001/EKS/Y-W		

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)							
1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:		TIONAL Entity		Entity					
Deposit Account Number 19-0036	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee De	escription	Fee paid		
Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.L.C.	105	130	205	65	Surcharge - late filing for	ee or oath	\$130.00		
Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		50	227	25	Surcharge - late provisional	I filing fee or cover sheet			
		130	139	130	Non-English specific				
Applicant claims small entity status See 37 CFR 1.27		2,520	147	2,520	For filing a request for	r <i>ex parte</i> reexaminat	tion		
		920*	112	920*	Requesting publication of SIR prior to Examiner action				
2. ⊠Payment Enclosed: .		1,840*	113	1,840*	Requesting publication of SIR after Examiner action		lion		
Check Credit card Money Order Other* *Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.		110	215	55	Extension for reply within first month				
FEE CALCULATION		400	216	200	Extension for reply wi	ithin second month	\$400.00		
1. BASIC FILING FEE		920	217	460	Extension for reply wi	ithin third month			
Large Entity Small Entity	118	1,440	218	720	Extension for reply wi	ithin fourth month			
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	128	1,960	228	980	Extension for reply wi	ithin fifth month			
101 740 201 370 Utility filing fee \$740.00	119	320	219	160	Notice of Appeal				
106 330 206 165 Design filing fee	120	320	220	160	Filing a brief in support of an appeal				
107 510 207 255 Plant filing fee	121	280	221	140	Request for oral hearing				
108 740 208 370 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding		. —		
114 160 214 80 Provisional filing fee	140	110	240	55	Petition to revive - un	·			
		1,280	241	640	Petition to revive - un				
SUBTOTAL (1) (\$) 740.00		1,280	242	640	Utility issue fee (or reissue)				
		460	243	230	Design issue fee				
	144	620	244	310	Plant issue fee				
	122	130	122	130	Petitions to the Comm	nissioner			
2. EXTRA CLAIM FEES Fee from Extra below Fee Paid	123	130	123	130	Petitions related to pr	ovisional applications	3		
- Total Claims 10 - 20** = X =	126	180	126	180	Submission of Inform	ation Disclosure Stm	t		
Indep. Claims 1 - 3** = X =	581	40	481	40	Recording each patent assignment per property (times number of properties)				
Multiple Dependent =		740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))				
Large Entity Small Entity Fee Fee Fee Fee Fee Description	149	740	249	370	For each additional in (37 CFR 1.129(b))	vention to be examin	ned		
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	179	740	279	370	Request for Continued Examination (RCE)				
102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim	169	900	169	900	Request for expedited examination of a design application				
108 84 209 42 **Reissue independent claims over original	Other fee	(specify) :							
patent 110 18 210 9 Patent "Reissue claims in excess of 20 and over original patent		Other fee (specify):							
SUBTOTAL (2) (\$)		*Reduced by Basic Filing Fee Paid							
** or number previously paid, if greater; For Reissues, see above SUBTOTAL (3) (\$)530.00									
SUBMITTED BY						Complete (if appli	cable)		
Name (Print/Type) Eric K. Steffe		Re (Att	Registration No. (Attorney/Agent)		36,688	Telephone 202-371-2600			
Signature Date 6/20/02									



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
Washington, D.C. 20231
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

Jan-Michael Peters

0652.2290001

CONFIRMATION NO. 4782

26111

STERNE, KESSLER, GOLDSTEIN & FOX PLLC 1100 NEW YORK AVENUE, NAVY, SUITE 600 WASHINGTON, DC 20005-0534 FORMALITIES LETTER

OC00000007500072

Date Mailed: 02/20/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$280.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1150.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

06/25/2002 EAREGAY1 00000010 10051311

01 FC:101 740.00 OP 02 FC:105 130.00 OP A copy of this notice <u>MUST</u> be returned with the reply.

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

PETERS et al.

Appl. No. 10/051,311

Filed: January 22, 2002

For: Method For Identifying

Compounds Modulating Sister

Chromatid Separation

Confirmation No. 4782

Art Unit: 1651

Examiner: To Be Assigned

Atty. Docket: 0652.2290001/EKS/Y-W

Letter to PTO Draftsman: Submission of Formal Drawings

Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith are SEVENTEEN (17) sheets of formal drawings with Figures (Figures 1A, 1B, 2A, 2B, 2C, 3A, 3B, 4A, 4B, 4C, 4D, 5A, 5B, 6A, 6B, 7-8, 9A, 9B, 10-11), corresponding to the informal drawings submitted with the above-captioned application. Identification of the drawings is provided in accordance with 37 C.F.R. § 1.84(c). Acknowledgment of the receipt, approval, and entry of these formal drawings into this application is respectfully requested.

In Reply to the Office's notice requiring a petition under 37 C.F.R.§1.84(b)(1) and/or a petition fee for Acceptance of Black and White Photographs, Applicants request that the requirement be withdrawn. 1213 OG 108.

It is not believed that an extension of time is required, other than any already provided herewith. However, if an extension of time is needed to prevent abandonment of the application, then such extension of time is hereby petitioned. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Eric K. Steffe

Attorney for Applicant Registration No. 36,688

Date: 6/20/

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::ODMA\MHODMA\SKGF_DCE:26042:1 SKGF Rev 3/27/02 mad